

By:

Carol A. See

JUL 25 2001

TECH CENTER 1600/2

PATENT
Docket No. GC527C2

In re Application of

D. A. Estell et al.

Group Art Unit: 1645

Serial No.: 09/677,822

Examiner: Unassigned

Filed: October 2, 2000

For: Proteins Producing an Altered Immunogenic Response and Methods of Making and Using the Same

BEST AVAILABLE COPY

DISK TOSTIC

Commissioner for Patents
Washington, DC 20231

DATE:

Sir:

With regard to the above-identified application, enclosed please find:

- (a) Preliminary Amendment;
- (b) Paper Copy of the Sequence Listing;
- (c) Diskette
- (c) Statement of Sameness; and
- (d) Request For a Corrected Filing Receipt;

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this paper, and to credit any overpayment, to Deposit

Account No. 07-1048 (Docket No. GC527C2). A duplicate of this paper is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'CS' followed by a stylized flourish, positioned above a horizontal line.

Christopher L. Stone
Registration No. 35,696

Date: July 17, 2001

Genencor International, Inc.
925 Page Mill Road
Palo Alto, CA 94304-1013
Tel: 650-846-7555
Fax: 650-845-4020

BEST AVAILABLE COPY



#4

I hereby certify that this correspondence is being deposited with the US Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on the date shown below.

Date: July 17, 2001

By: Carol A. See
Carol A. See

PATENT
Docket No. GC527C2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)	
)	
David A. Estell et al.)	Group Art Unit: 1645
)	
Serial No.: 09/677,822)	Examiner: Unassigned
)	
Filed: October 2, 2000)	
)	
For: Proteins Producing an Altered)	
Immunogenic Response and)	
Methods of Making and)	
Using the Same)	

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Attn: Application Processing Division's
Customer Correction Branch

Sir:

Enclosed is a copy of the Official Filing Receipt outlined in red to show correction(s) that are needed. The correction(s) is/are as follows:

Under Continuing Data as Claimed by Applicant, please replace "This Application is a Con of 09/500,135 with --This Application is a CIP of 09/500,135--

U.S. Serial No. 09/677,822
Page 2

A corrected Official Filing Receipt is respectfully requested.

Respectfully submitted,

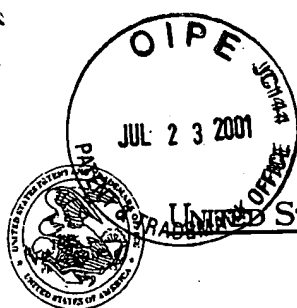
Date:

7/16/01

A handwritten signature in black ink, appearing to read 'C. L. Stone', written over a horizontal line.

Christopher L. Stone
Registration No. 35,696

Genencor International, Inc.
925 Page Mill Road
Palo Alto, CA 94304-1013
Tel: 650-846-7555
Fax: 650-845-6504



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/677,822	10/02/2000	1645	1064	GC527C2	34	28	4

FILING RECEIPT



OC000000005809497

567
8/7/01

Susan Faris
Genecor International Inc
925 Page Mill Rd
Palo Alto, CA 94304-1013

Date Mailed: 02/28/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO process the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David A. Estell, San Mateo, CA ;
Fiona A. Harding, Santa Clara, CA ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A ~~CON~~ OF 09/500,135 02/08/2000
WHICH IS A CIP OF 09/060,872 04/15/1998

CIP

Foreign Applications

If Required, Foreign Filing License Granted 12/11/2000

Title

Proteins producing an altered immunogenic response and methods of making and using the same

Preliminary Class

435

Data entry by : ROBINSON, YOLANDA

Team : OIPE

Date: 02/28/2001





LICENSE FOR FOREIGN FILING UNDER
Title 35, Unit d States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231